



Our services to Investment Fund Companies and Undertakings in Collective Investments

Orionis	<ul style="list-style-type: none"> • Outstanding Fund governance expertise • Regulated PSF in Luxembourg • Long term partner of 27 regulated Funds and management companies
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Clients	Regulated Luxembourg companies with substance requirement
	Other Investment companies

Services	We provide to your structure
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Assets under governance	EUR 62 Billion
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- 16 years track record
- 3 partners with comprehensive governance practice
- Member of the Luxembourg Association of Directors (ILA)

- Investment Fund Managers – Mancos, AIFM
- Investment Funds – UCITS, AIFs, SIAGs...
- Banks
- Insurers
- Non regulated investment companies (holdings, securitisation vehicles...)

- Independent Directors
- Senior Managers
- MLROs
- internal control specialists (in compliance, AML/CFT, risks and supervision of delegated functions)
- consulting services and tailor-made solutions
- Liquidation services

- 8 supervisory mandates in regulated Investment Fund Companies
- 23 supervisory mandates in UCIs
- 8 mandates in other entities

OUR EXPERTISES

- Governance
- Oversight of Investment Management
- Oversight of risk management
- Compliance
- Oversight of service providers

STRUCTURES:

- UCITS and AIFs
- Super ManCos, UCITS ManCos and AIFMs
- Banks

ASSETS

- Private equity
- Real estate
- ETFs
- Real assets

1. Professional of the financial sector (PFS) regulated by the CSSF
2. Established in Luxembourg for 17 years
3. Offering the independent expertise of its staff members for governance and advisory services to:
 - a. Investment Fund Managers (Mancos and AIFMs), Banks and Insurers
 - b. Boards of Directors of investment funds (UCITS, AIFs, and other investment companies (holdings))
 - c. Management board members of Special Purpose Vehicles (SPV) such as local and foreign SPVs and securitisation vehicles
4. A team of 3 professionals dealing on a daily basis with a full range of regulatory provisions and sharing their understanding of the legal and regulatory environment.

Committed to deliver long term pragmatic advices and solutions to each of its clients.

We provide members to your supervisory bodies (governing body/management body) who are:

1. experts having a comprehensive understanding of all the activities of your business
2. skilled and experienced professionals
3. committed to help you overcoming all legal and regulatory requirements on your investment companies or vehicles
4. continuously trained and well connected in the fund management industry
5. All members of ILA (Institute of Luxembourg Directors)

Choosing us as independent members of your governing bodies, will enhance the global efficiency of your organisation through our commitment, availability, objectivity, and critical thinking.

We are recognised for our professional contribution as internal control specialists (compliance including AML/CFT, risk management, and internal audit supervision).

As such, we are members of senior management bodies or we advise them in their permanent functions to:

1. implement strategies and guiding principles for central administration and internal governance
2. implement adequate internal control mechanisms (permanent risk management, compliance, and internal audit functions)
3. make sure that the technical infrastructure and human resources are adequate, objective and independent with regards to the exercise of the activities of your company
4. provide skilled staff specialised in compliance and risk management for interim missions

We are regularly called to perform consulting services and to develop tailor-made solutions addressing all types of regulatory provisions, such as:

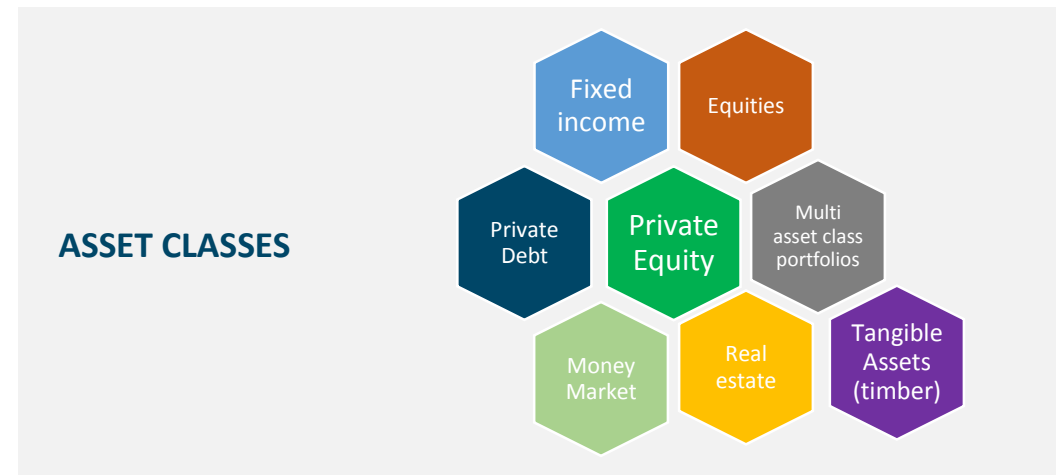
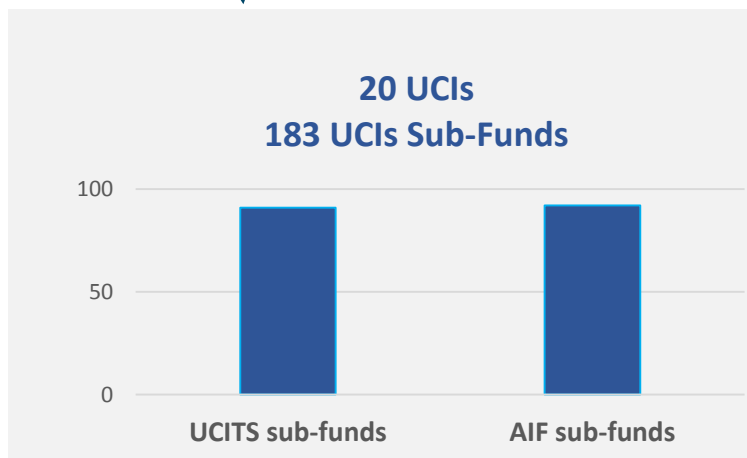
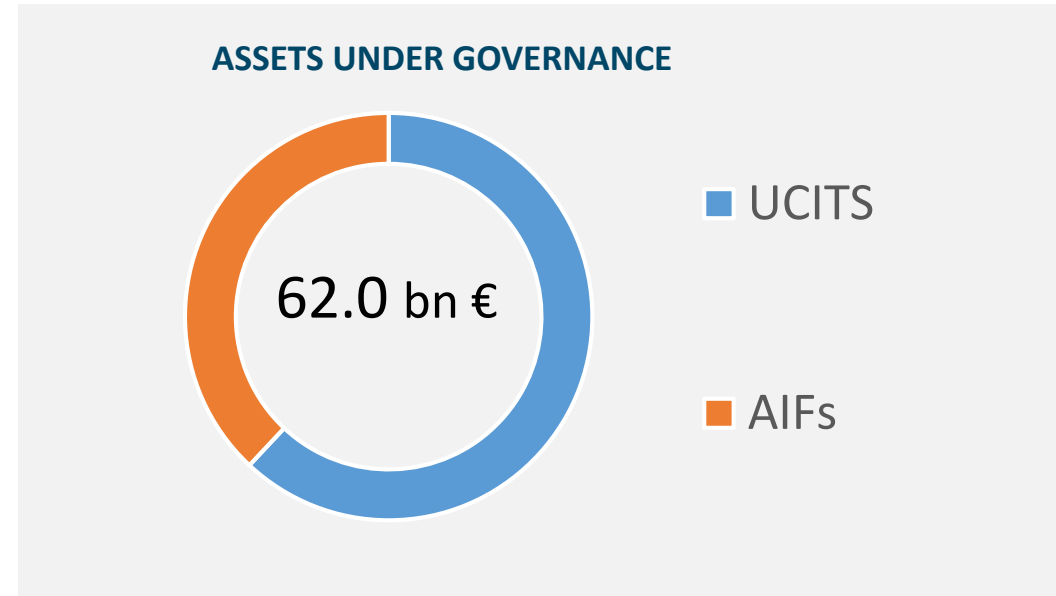
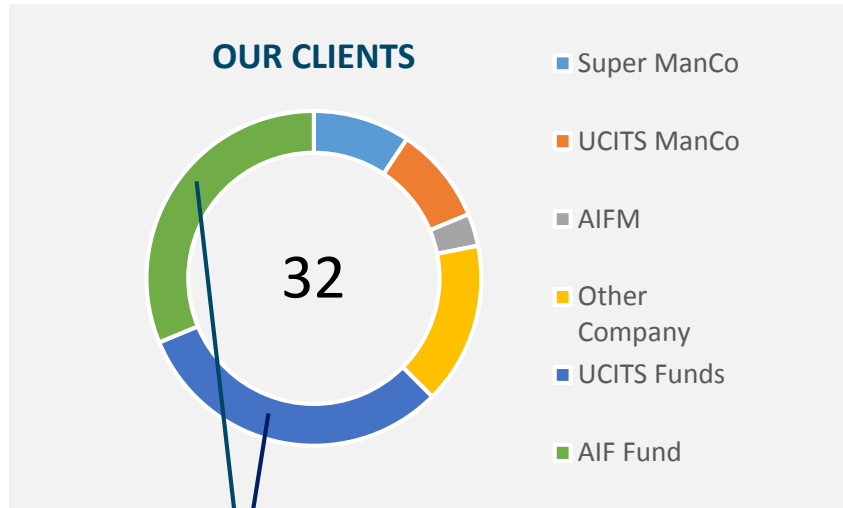
- Remediation to identified gaps detected by internal / external auditors or by the Regulators
- Independent review and selective or comprehensive update of your policies, procedures and charters
- Before launching a new fund or sub-fund, complementary technical assistance for the submission of the authorisation file to the Regulators
- Assistance in the filing of all types of notifications to the Regulators
- Guidance in the preparation of your initial and periodic due diligences, as well as your ongoing monitoring on your delegates (central administration including registrar and transfer agent, investment managers, risk managers, internal auditors and other activities).
- Contribution in the design and preparation of closing documents to be provided yearly to the Regulators.

Partnering with 13

 investment entities

with sponsors present throughout Europe, as well as in Brazil







Daniel VAN HOVE, CFA

Daniel has **40 years** institutional asset management and banking experience, mainly in Luxembourg.

He is an **independent Director** in various UCIs and investment companies based in Luxembourg.

Having serviced these entities for many years, and often since inception, he is regularly selected as **liquidator**, if needed.

Education	<ul style="list-style-type: none"> • Commercial Engineer (University of Louvain, Belgium, 1975) • MBA of Chicago Booth School of Business, USA, 1977 • CFA, Chartered Financial Analyst, 1992 • Postgraduate Certificate in private Equity (Middlesex University, London (UK), 2019) • IFF certification in ETF
Experience	<ul style="list-style-type: none"> • JP Morgan • United California Bank • Banque Internationale à Luxembourg (BIL) • Kredietrust Luxembourg • Orionis Management (fully owned company) • Regulated and non regulated investment companies based in Luxembourg
Responsibilities	<ul style="list-style-type: none"> • 6 years - Financial analyst, Corporate Account officer • 3 years - Head of economic research, head of fixed income asset management • 15 years - Head of institutional asset management and managing director of an investment management company • 17 years - Managing Director, Independent Director, seconded conducting officer, Liquidator
Skills	<ul style="list-style-type: none"> • Fund Governance (UCITS, AIFs, SIFs, SPVs ...) • Asset management • Private equity • ETF • Real assets funds such as timber



Laurence JODOGNE
Insead ICG certified

Laurence has **30 years** of experience as Investment manager and **CEO of asset management companies**, in Luxembourg and in France.

From her activity as a **CRO in a bank**, she is particularly aware of risk management issues and has developed a great deal of supervision in financial structures.

Convinced that everyone's actions can contribute to the "broader objectives of society", she specialises in **sustainable finance**.

Education

- Agronomic Engineer (Belgium, 1987)
- Master in Business & Finance (Belgium, 1988)
- Insead Certificate in International Corporate Governance (2019)
- IFF certification in ETF (2019)

Experience

- Kredietrust Luxembourg
- KBL Richelieu Gestion (France)
- Degroof Gestion (France)
- Banque Degroof Petercam (France)
- Orionis Management
- Regulated investment fund manager (Luxembourg)

Responsibilities

- 8 years - institutional portfolio and fund manager
- 7 years - head of a private portfolio management unit
- 12 years – CEO / conducting officer of management companies
- 3 years – Chief Risk Officer of a ECB supervised private bank
- Currently conducting officer of a ManCo

Skills

- Management companies and Funds governance
- Asset management
- Regulatory and Compliance
- Risks
- ESG and responsible investments



Eric GRENOUILLET

After 8 years as an investment manager, **Eric** has developed over the last 10 years from around thirty different clients, a significant field experience as a **risk manager**, a **compliance officer**, a conducting officer and also a fund and corporate Director.

In order to meet the growing needs of the real estate market, Eric deepened his academic knowledge in 2019, specialising in the **real estate finance** sector.

Education

- Master in Mathematical Engineering and Information Technology - Specialisation in Mathematics applied to Finance (France, 2002)
- Postgraduate Certificate in The Mechanics of Real Estate Finance (Middlesex University, London (UK), 2019)
- IFF certification in ETF

Experience

- Kredietrust Luxembourg
- Bellatrix Asset Management (Ch 15 ManCo)
- Orionis Management
- Several investment fund managers and holding companies

Responsibilities

- 15 years – Managing Director
- 8 years - institutional portfolio and fund manager
Asset management services for private and institutional clients and Fund Administration services
- 10 years - Independent Director, Conducting Officer

Skills

- Fund Governance (UCITS, AIFs)
- Asset management
- Risk management
- Real Estate supervision
- ETF

Orionis Management applies to itself the principles of sound governance that it offers to its clients.

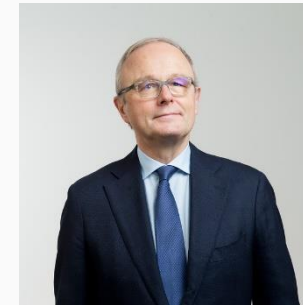
Two non-executive independent Directors, Jean-François Caeymaex and Jean Lambert, have been invited to join its Board when Orionis was set up.



Jean-François Caeymaex has a Law Degree from the Catholic University of Louvain (Belgium) and an MBA from INSEAD, Fontainebleau, France.

He spent 27 years of his professional career working for the KBC Group in various entities dedicated to institutional clients: fixed-income investment banking, syndicated credits, corporate banking, institutional asset management, financial market and treasury products.

He joined Orionis with the specific assignment of strengthening private and institutional client relationships. Jean-François is also director in various other companies and available for mandates in investment funds and companies.



Jean Lambert has a Masters in Economics from the University of Nancy, France and an MBA degree from INSEAD, Fontainebleau, France.

He has a solid track record acting as CEO and Managing Director of financial institutions, leveraging more than 30 years of financial experience.

Jean is an independent Non-Executive Director with more than 20 years of board experience also available for mandates in investment funds and companies and is interested in other similar assignments.



Eric Grenouillet
Managing Director

eg@orionis-management.com



Daniel Van Hove
Managing Director

dvh@orionis-management.com



Laurence Jodogne

lj@orionis-management.com

Orionis Management S.A.

370, route de Longwy
L-1940 Luxembourg

T +(352) 26 44 01 70

info@orionis-management.com
www.orionis-management.com

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